



## **James Lam**

*President, James Lam & Associates*

Mr. Lam is President of James Lam & Associates, a risk management consulting firm. He works with corporate directors and executives of large, complex organizations including global banks, brokerage firms, insurance companies, asset managers, energy firms, multi-national corporations, regulatory agencies, and non-profits. In a Euromoney survey, Mr. Lam was nominated by clients and peers as one of the world's leading risk consultants.

Mr. Lam was recently appointed to the Board of Directors of E\*TRADE Financial Corporation (NASDAQ: ETFC). He was named Chair of the Risk Oversight Committee, and a member of the Audit Committee.

Mr. Lam has over 25 years of experience in risk and business management. He is widely noted as the first ever "chief risk officer" and an early advocate of enterprise risk management. Previously, Mr. Lam served as Partner of Oliver Wyman, Founder and President of ERisk, Chief Risk Officer of Fidelity Investments, and Chief Risk Officer of GE Capital Market Services. His work has been profiled as best practice in case studies published by The Economist, Price Waterhouse Review, and Risk Magazine.

Mr. Lam is the author of Enterprise Risk Management: From Incentives to Controls, which has ranked #1 best selling among 25,000 risk management titles on Amazon.com. The book has been translated into Chinese, Indonesian, Japanese, and Korean. In 1997, Mr. Lam received the inaugural Risk Manager of the Year Award from the Global Association of Risk Professionals. For three years (2005, 2006, and 2008), Treasury & Risk Management magazine named him one of the "100 Most Influential People in Finance." He has been published extensively with over 100 articles and book chapters currently to his credit. He has been published or quoted in the Wall Street Journal, Harvard Business Review's Insight Center, Financial Times, CFO Magazine, and American Banker.

Mr. Lam graduated summa cum laude with a BBA from Baruch College (1983), and has an MBA with honors from UCLA (1989). In 2004, he was appointed Senior Research Fellow at Peking University. Mr. Lam has lectured at Harvard Business School as the subject of a HBS case study, and has taught graduate courses in risk management and advanced derivatives at Babson College and Hult International Business School.